

## Block cipher modes

*Security Protocols (bmevihim132)*

Dr. Levente Buttyán  
associate professor

BME Hálózati Rendszerek és Szolgáltatások Tanszék  
Lab of Cryptography and System Security (CrySys)  
buttyan@hit.bme.hu, buttyan@crysys.hu



## Outline

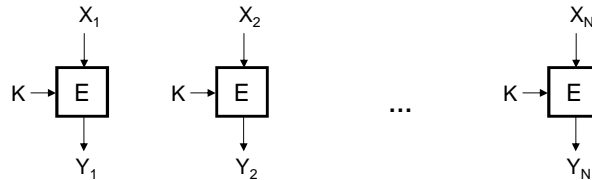
- five standardized modes (operation, properties)
  - Electronic Codebook (ECB) mode
  - Cipher Block Chaining (CBC) mode
  - Cipher Feedback (CFB) mode
  - Output Feedback (OFB) mode
  - Counter (CTR) mode
- attacks on CBC
  - simple attacks (content leak, cut and paste)
  - padding oracle attack by Vaudenay (2002)
- an attack on the CFB variant used in OpenPGP
- some exercises



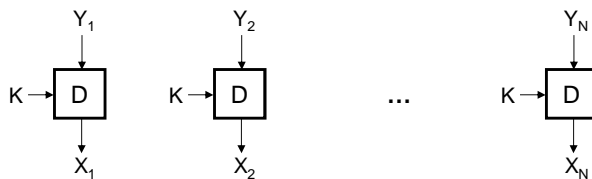


## ECB mode

### ▪ encrypt



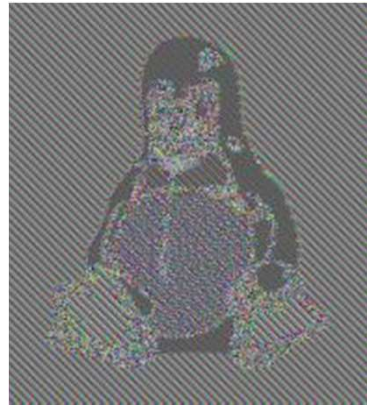
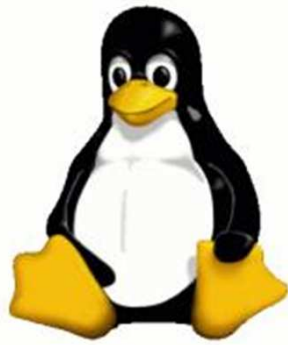
### ▪ decrypt



## Properties of the ECB mode

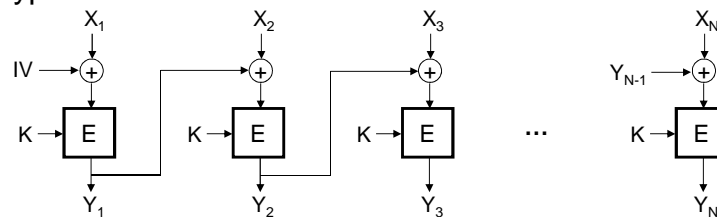
- encrypting the same plaintext with the same key results in the same ciphertext
- identical plaintext blocks result in identical ciphertext blocks (under the same key of course)
  - messages to be encrypted often have very regular formats
  - repeating fragments, special headers, string of 0s, etc. are quite common
  - **does not properly hide patterns in the plaintext**
- blocks are encrypted independently of other blocks
  - reordering ciphertext blocks result in correspondingly reordered plaintext blocks
  - ciphertext blocks can be cut from one message and pasted in another, possibly without detection
  - **additional integrity protection is essential**
- error propagation: one bit error in a ciphertext block affects only the corresponding plaintext block (results in garbage)
- overall: not recommended for messages longer than one block, or if keys are reused for more than one block

## Illustration of ECB in action

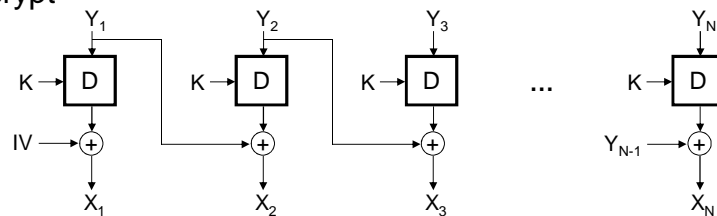


## CBC mode

- encrypt



- decrypt





## Properties of the CBC mode

- encrypting the same plaintext under the same key, but different IVs result in different ciphertexts
- ciphertext block  $Y_j$  depends on  $X_j$  and all preceding plaintext blocks
  - rearranging ciphertext blocks affects decryption
  - however, dependency on the preceding plaintext blocks is only via the previous ciphertext block  $Y_{j-1}$ 
    - proper decryption of a correct ciphertext block needs a correct preceding ciphertext block only (see cut-and-paste attacks later in this slide set)
- error propagation:
  - one bit error in a ciphertext block  $Y_j$  has an effect on the  $j$ -th and  $(j+1)$ -st plaintext block
    - $X_j'$  is complete garbage and  $X_{j+1}'$  has bit errors where  $Y_j$  had
      - an attacker may cause predictable bit changes in the  $(j+1)$ -st plaintext block (see the padding oracle attack later in this slide set)
- self-synchronizing property:
  - automatically recovers from loss of a ciphertext block
- parallel computation (only for decryption), random access, no pre-computation



## Requirements on the IV

- the IV need not be secret (although secret IVs have some advantages), but it should be **unpredictable** and **non-manipulable** by the attacker
- the problem with predictable IVs (in the chosen plaintext attack model)
  - let  $Y_i = E_K(Y_{i-1} + X_i)$  for some  $i$  (part of a CBC encrypted message), and let us assume that the attacker suspects that  $X_i = X^*$ ; can he confirm this?
  - the attacker predicts the next IV, submits  $X = IV + Y_{i-1} + X^*$  to the oracle, and receives  $Y = E_K(IV + X) = E_K(Y_{i-1} + X^*)$ ; if  $Y = Y_i$ , then  $X_i = X^*$  is confirmed
- the problem with manipulable IVs
  - if an attacker can directly manipulate the IV (e.g., flip a selected bit of it), then he can make specific changes to the first plaintext block recovered (e.g., flip a selected bit of it)



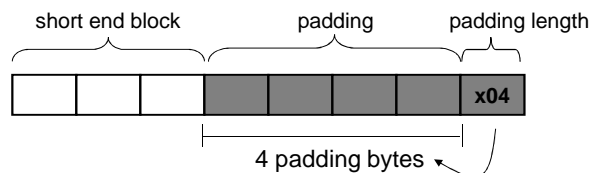
## Generating unpredictable IVs

- $IV = E_K(N)$ 
  - where N is a nonce (non-repeating value)
  - N may be a counter or a message ID (unique to the message)
  - to ensure non-manipulability, the sender should send N to the receiver (perhaps at the beginning of the CBC encrypted message), who should then compute the IV locally
    - N may be changed by an attacker, but he cannot control the effects made on the value of the IV
- $IV =$  output of a **cryptographic** random number generator
  - random number generators available in standard programming libraries (e.g., rnd, rand, ...) are not unpredictable, therefore they are not appropriate here!
  - to ensure non-manipulability the sender should send the IV in an encrypted form (e.g.,  $E_K(IV)$ ) to the receiver
    - $E_K(IV)$  may be changed, but the attacker cannot control the effects made on the recovered IV
- both approaches also ensure the secrecy of the IV, which is advantageous



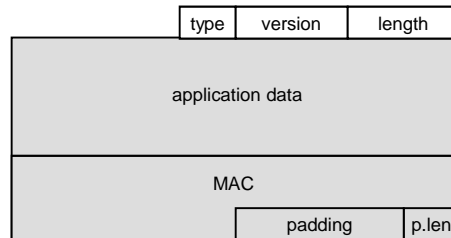
## Padding

- the length of the message may not be a multiple of the cipher's block size
- we must add some extra bytes to the short end block such that it reaches the correct size – this is called **padding**
- the receiver must be able to unambiguously recognize and remove the padding
- common examples for padding schemes:
  - append a x01 byte and then as many x00 bytes as needed (i.e., 1000...)
  - indicate the length of the padding in the last added byte



- note: padding is always used, even in the case when the length of the original message is a multiple of the block size: in this case, an entire extra block is added to the message

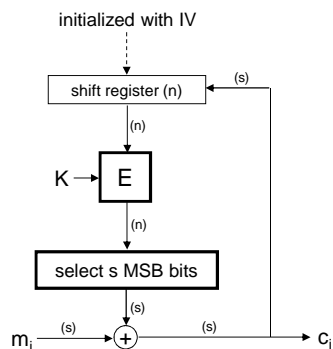
## Example: TLS Record Protocol



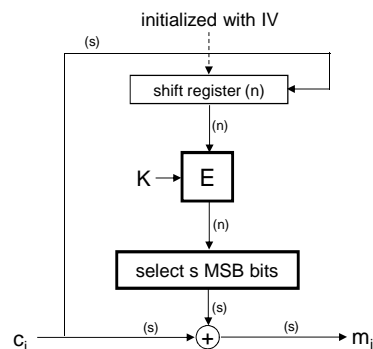
- TLS padding:
  - last byte is the length  $n$  of the padding (not including the last byte)
  - all padding bytes have value  $n$
  - examples for correct message tails:  $x00$ ,  $x01x01$ ,  $x02x02x02$ , ...
  - verification: if the last byte is  $n$ , then verify if the last  $n+1$  bytes are all  $n$
  - if verification is successful, remove the last  $n+1$  bytes, and proceed with the verification of the MAC

## CFB mode

– encrypt



– decrypt





## Properties of the CFB mode

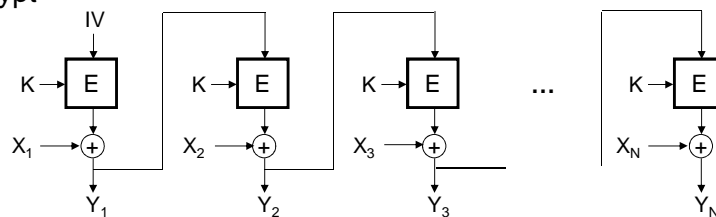
- encrypting the same plaintexts under the same key, but different IVs results in different ciphertexts
- ciphertext character  $c_j$  depends on  $m_j$  and all preceding plaintext characters
  - rearranging ciphertext characters affects decryption
  - proper decryption of a correct ciphertext character requires that the preceding  $n/s$  ciphertext characters are correct
- error propagation:
  - one bit error in a ciphertext character  $c_j$  has an effect on the decryption of that and the next  $n/s$  ciphertext characters (the error remains in the shift register for  $n/s$  steps)
    - $m_j'$  has bit errors where  $C_j$  had, all the other erroneous plaintext characters are garbage
    - an attacker may cause predictable bit changes in the  $j$ -th plaintext character !
- self-synchronizing property:
  - recovers from loss of a ciphertext character after  $n/s$  steps
- parallel computation (only for decryption), random access, no pre-computation



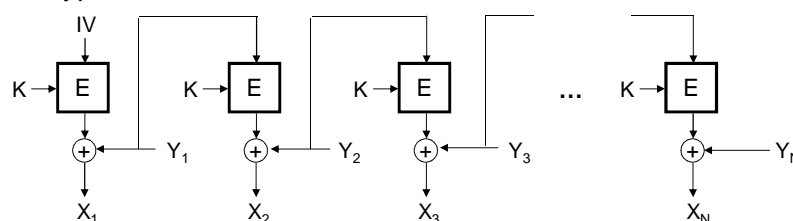
## Another view on CFB

- if  $s = n$ , then...

- encrypt



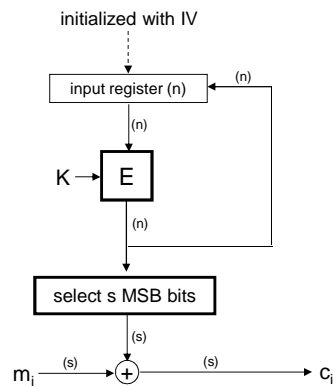
- decrypt



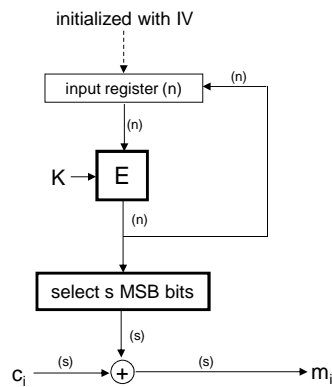


## OFB mode

– encrypt



– decrypt



## Properties of the OFB mode

- a different IV should be used for every new message, otherwise messages will be encrypted with the same key stream
- the IV can be sent in clear
  - however, if the IV is modified by the attacker, then the cipher will never recover (unlike CFB)
- ciphertext character  $c_j$  depends on  $m_j$  only (does not depend on the preceding plaintext characters)
  - however, rearranging ciphertext characters affects decryption
  - statistical properties of the plaintext is hidden due to the random output of the block cipher
- error propagation:
  - one bit error in a ciphertext character  $c_j$  has an effect on the decryption of only that ciphertext character
    - $m_j$  has bit errors where  $c_j$  had
    - an attacker may cause predictable bit changes in the  $j$ -th plaintext character !!!
- needs synchronization
  - cannot automatically recover from a loss of a ciphertext character
- sequential computation only, no random access, pre-computation is possible

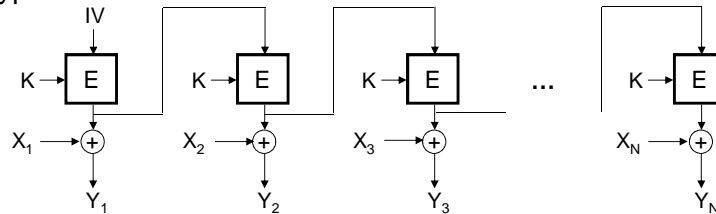




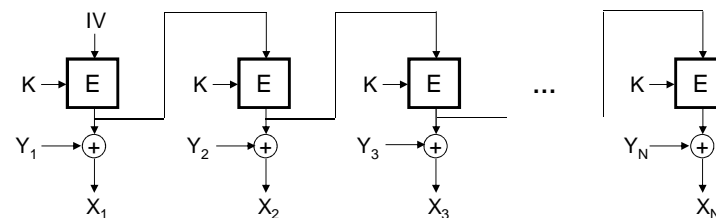
## Another view on OFB

- if  $s = n$ , then...

- encrypt

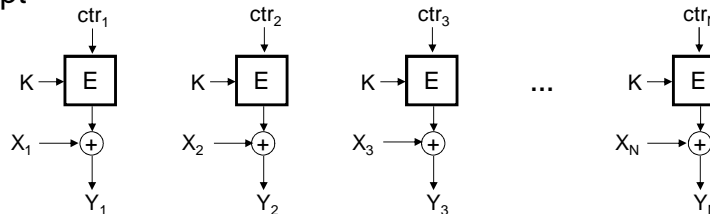


- decrypt

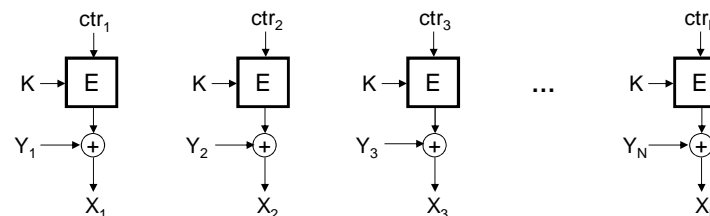


## CTR mode

- encrypt



- decrypt





## Properties of the CTR mode

- similar to OFB, but ...
- parallel computation and random access (unlike OFB), and pre-computation is possible too



## Generating counter blocks

- it is crucial that counter values do not repeat, otherwise...
  - given  $Y = E_K(\text{ctr})+X$  and  $Y' = E_K(\text{ctr}')+X'$ , the attacker can compute  $Y + Y' = X + X'$ ; if  $X$  (or part of it) is known then  $X'$  (or part of it) is disclosed to the attacker
- this requires:
  - incrementing function for generating the counter blocks from any initial counter block must ensure that counter blocks do not repeat within a given message
  - the initial counter blocks must be chosen to ensure that counters are unique across all messages that are encrypted under the given key
- a typical approach:
  - divide the counter block into two sub-blocks  $\text{ctr} = \text{ctr}'|\text{ctr}''$ , where  $\text{ctr}''$  is  $b$  bits long and  $\text{ctr}'$  is  $n-b$  bits long ( $n$  is the block size of the cipher)
  - $\text{ctr}'$  is a nonce (e.g., a unique message ID) or it is a counter incremented with each new message ( $\rightarrow$  max number of messages is  $2^{n-b}$ )
  - $\text{ctr}''$  is a counter incremented with every block within the message ( $\rightarrow$  max message length is  $2^b$  blocks)



## Summary of properties

- ECB: used to encipher a single plaintext block (e.g., an AES key or an IV)
- CBC: repeated use of the block cipher to encrypt long messages
  - IV should be changed for every message
  - the unpredictability and the non-manipulability of the IV is important
  - only the decryption can be parallelized, random access, no pre-computation
  - limited error propagation, self-synchronizing property
- CFB, OFB, CTR:
  - can be used to convert a block cipher into a stream cipher ( $s < n$ )
    - OFB and CTR → synchronous stream ciphers
    - CFB → self-synchronizing stream-cipher
  - only the encryption algorithm is used, that is why some block ciphers (e.g., Rijndael) are optimized for encryption



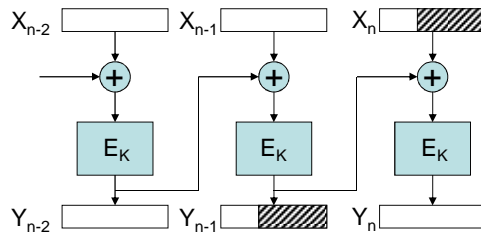
## Summary of properties

- CFB:
  - IV should be changed for every message
  - only the decryption can be parallelized, random access, no pre-computation
  - extended error propagation, self-synchronizing property
- OFB:
  - changing the IV for every message is very important
  - cannot be parallelized, no random access, pre-computation is possible
  - no error propagation, needs synchronization
- CTR:
  - non-repeating counters are very important
  - parallelizable, random access, pre-computation
  - no error propagation, needs synchronization
- **none of these modes provide integrity protection !**
- encrypted message is longer than clear message due to padding (except if  $s < n$  in CFB, OFB, and CTR modes)



## Ciphertext stealing (CTS) in CBC

- encryption:
  - $Y_i = E_K(X_i + Y_{i-1})$  for  $i = 1..n-1$
  - $Y_n = E_K(X_n | 0^* + Y_{n-1})$
  - ciphertext:  $Y_1 | Y_2 | \dots | Y_{n-2} | Y_n | Y_{n-1}^{\text{trunc}(X_n)}$
- decryption:
  - $X_i = D_K(Y_i) + Y_{i-1}$  for  $i = 1..n-2$
  - $X_n = D_K(Y_n)^{\text{trunc}(X_n)} + Y_{n-1}^{\text{trunc}(X_n)}$
  - $Y_{n-1} = D_K(Y_n) + X_n | 0^*$
  - $X_{n-1} = D_K(Y_{n-1}) + Y_{n-2}$



## Some attacks on CBC

- content leak attack
- cut-and-paste attack
- padding oracle attack



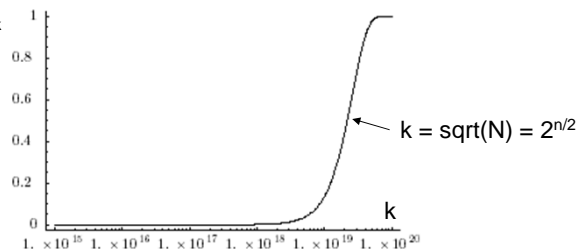
## Content leak attack on CBC

- let's assume that we have two encrypted blocks:
  - $Y_i = E_K(X_i + Y_{i-1})$
  - $Y_j = E_K(X_j + Y_{j-1})$that happen to be equal:
  - $Y_i = Y_j$
- this means that
  - $D_K(Y_i) = D_K(Y_j)$
  - $X_i + X_j = Y_{i-1} + Y_{j-1}$
- the attacker knows the difference between  $X_i$  and  $X_j$
- if  $X_i$  (or part of it) is known to the attacker, then  $X_j$  (or part of it) is also disclosed



## Probability of a matching pair

- $\Pr\{Y_i = Y_j\} = ?$
- assume that the block cipher works as a random function
- let  $P_k$  be the probability of having no matching pairs among  $k$  outputs (size of output space is  $N = 2^n$ )
  - $P_1 = 1$
  - $P_2 = (N-1)/N$
  - $P_3 = ((N-1)/N)((N-2)/N)$
  - ...
  - $P_k = ((N-1)/N)((N-2)/N) \dots ((N-k+1)/N) = (1/N^k) (N! / (N-k)!)$
- $\Pr\{Y_i = Y_j\} = 1 - P_k$



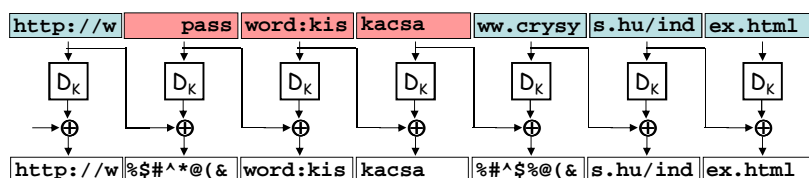


## Cut-and-paste attack on CBC

- given two encrypted messages  $Y_1Y_2\dots Y_p$  and  $Y'_1Y'_2\dots Y'_q$ , we can construct  $Y_1\dots Y_iY'_1\dots Y'_qY_{i+1}\dots Y_p$
- this will decrypt into  $X_1\dots X_iRX'_2\dots X'_qR^*X_{i+2}\dots X_p$
- $R$  and  $R^*$  are garbage, but the receiver may actually expect random numbers at those positions of the message

$C \rightarrow S$ : pass word:kis kacsa

$S \rightarrow C$ : http://w ww.crysy s.hu/ind ex.html



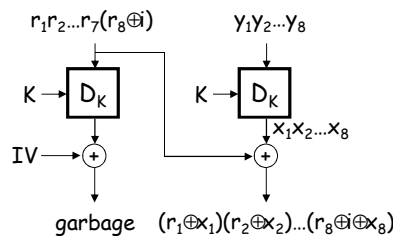
## The padding oracle attack on CBC

- padding oracle
  - assume that a system uses CBC encryption/decryption with MAC and padding (in this order!)
  - the receiver of a CBC encrypted message may respond differently in the case of "incorrect padding" and in the case of "correct padding but incorrect MAC"
  - we get 1 bit of information !
- example padding oracle in practice: a TLS server
  - send a random message to a TLS server (chosen ciphertext attack model)
  - the server will drop the message with overwhelming probability
    - either the padding is incorrect (the server responds with a DECRYPTION\_FAILED alert)
    - or the MAC is incorrect with very high probability (the server responds with BAD\_RECORD\_MAC)
- how to exploit this?
  - an attack discovered by Vaudenay in 2002 uses such a padding oracle to decrypt any CBC encrypted message efficiently !
  - vulnerable protocols: SSL/TLS, WTLS, IPsec, ...



## Recovering the last byte(s)

- assume we have an encrypted block  $y_1y_2\dots y_8 = E_K(x_1x_2\dots x_8)$
- we want to compute  $x_8$  (the last byte of  $x$ )
- idea:
  - choose a random block  $r_1r_2\dots r_8$ ; let  $i = 0$
  - send  $r_1r_2\dots r_7(r_8 \oplus i)y_1y_2\dots y_8$  to the server (oracle)
  - if there's a padding error, then increment  $i$  and go back to step 2
  - if there's no padding error, then  $r_8 \oplus i$  ends with 0 or 11 or 222 ...
    - the most likely is that  $(r_8 \oplus i) \oplus x_8 = 0$ , and hence  $x_8 = r_8 \oplus i$



## Recovering the last byte(s)

- assume we get that  $x \oplus r$  has a correct padding, but we don't know if it is 0 or 11 or 222 ...
- algorithm:
  - let  $j = 1$
  - change  $r_j$  and send  $r_1r_2\dots r_8y_1y_2\dots y_8$  to the server again
  - if the padding is still correct then the  $j$ -th byte was not a padding byte; increment  $j$  and go back to step 2
  - if the padding becomes incorrect then the  $j$ -th byte was the first padding byte;  $x_j \oplus r_j | x_{j+1} \oplus r_{j+1} | \dots | x_8 \oplus r_8 = (8-j) | \dots | (8-j)$  and hence  $x_j x_{j+1} \dots x_8 = r_j \oplus (8-j) | r_{j+1} \oplus (8-j) | \dots | r_8 \oplus (8-j)$

$x =$  DE AD BE EF DE AD BE EF  
 $r =$  01 23 45 67 DD AE BD EC  
 $r \oplus x =$  DF 8E FB 88 03 03 03 03

$i$	$r$	$r \oplus x$	padding
1	00 23 45 67 DD AE BD EC	DE 8E FB 88 03 03 03 03	OK
2	00 22 45 67 DD AE BD EC	DE 8F FB 88 03 03 03 03	OK
3	00 22 44 67 DD AE BD EC	DE 8F FA 88 03 03 03 03	OK
4	00 22 44 66 DD AE BD EC	DE 8F FA 89 03 03 03 03	OK
5	00 22 44 66 DC AE BD EC	DE 8F FA 89 02 03 03 03	ERROR

$x_5 x_6 x_7 x_8 =$  DD⊕03 AE⊕03 BD⊕03 EC⊕03 = DE AD BE EF



## Decrypting an entire block

- assume we have an encrypted block  $y_1y_2\dots y_8 = E_K(x_1x_2\dots x_8)$  and we know the value of  $x_jx_{j+1}\dots x_8$  (using the method for recovering the last byte(s))
- we want to compute  $x_{j-1}$
- algorithm:
  - choose a random block  $r_1r_2\dots r_8$  such that  $r_j = x_j \oplus (9-j)$ ;  $r_{j+1} = x_{j+1} \oplus (9-j)$ ; ...  $r_8 = x_8 \oplus (9-j)$ ;
  - let  $i = 0$
  - send  $r_1r_2\dots r_{j-2}(r_{j-1} \oplus i)r_j\dots r_8y_1y_2\dots y_8$  to the server (oracle)
  - if there's a padding error then increment  $i$  and go back to step 3
  - if there's no padding error then  $x_{j-1} \oplus r_{j-1} \oplus i = 9-j$  and hence  $x_{j-1} = r_{j-1} \oplus (9-j)$

```

x = DE AD BE EF DE AD BE EF
r = 01 23 45 67 DA A9 BA EB
r ⊕ x = DF 8E FB 88 04 04 04 04

i  r
0  01 23 45 67 DA A9 BA EB    DF 8E FB 88 04 04 04 04    padding
1  01 23 45 66 DA A9 BA EB    DF 8E FB 89 04 04 04 04    ERROR
...
140 01 23 45 EB DA A9 BA EB    DF 8E FB 04 04 04 04 04    OK
x_4 = EB ⊕ 04 = EF

```



## Decrypting an entire message

- assume we have a CBC encrypted message  $(Y_1, Y_2, \dots, Y_N)$  where
  - $Y_1 = E_K(X_1 \oplus IV)$
  - $Y_i = E_K(X_i \oplus Y_{i-1})$  (for  $1 < i < N$ )
  - $Y_N = E_K([X_N | pad | plen] \oplus Y_{N-1})$
- we want to compute  $X_1, X_2, \dots, X_N$
- algorithm:
  - decrypt  $Y_N$  using the block decryption method and XOR the result to  $Y_{N-1}$ ; you get  $X_N | pad | plen$
  - decrypt  $Y_i$  using the block decryption method and XOR the result to  $Y_{i-1}$ ; you get  $X_i$
  - decrypt  $Y_1$  using the block decryption method and XOR the result to IV; you get  $X_1$  (if the IV is secret you cannot get  $X_1$ )

complexity of the whole attack:

on average we need only  $\frac{1}{2} * 256 * 8 * N = 1024 * N$  oracle calls !



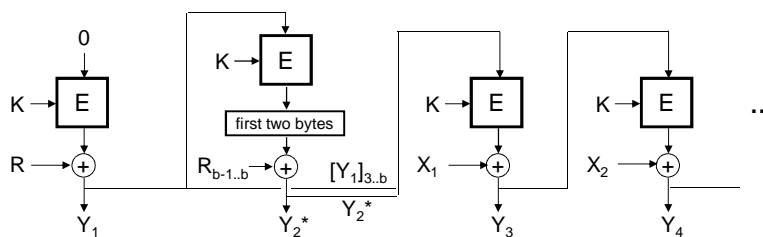


## Lessons learned

- content leak attack → use a sufficiently large block size (e.g., 128 bits)
- cut-and-paste attack → use some integrity protection mechanism (e.g., MAC or authenticated encryption (next lecture))
- padding oracle attack → pay attention on how the MAC function is used (e.g., apply it on the encrypted message)



## CFB encryption in OpenPGP



- the receiver verifies if he uses the right key for decryption:  
 $[E_K(0) + Y_1]_{b-1..b} = [E_K(0)]_{b-1..b} + [Y_1]_{b-1..b} =? [E_K(Y_1)]_{1..2} + Y_2^*$
- if the above condition holds, then continue decryption, otherwise stop



## A chosen ciphertext attack

$$[E_K(0)]_{b-1..b} + [Y_1]_{b-1..b} =? [E_K(Y_1)]_{1..2} + Y_2^*$$

- we assume that the attacker knows
  - the ciphertext  $C_1 | C_2^* | C_3 | C_4 | \dots$
  - the first two bytes of the corresponding plaintext  $[M_1]_{1..2}$ 
    - note that PGP compresses messages before encrypting them, and the compression method is encoded in the first two bytes of the compressed message
- computing  $[E_K(0)]_{b-1..b}$ :
  - send  $[C_1]_{3..b} | C_2^* | D^* | C_3 | C_4 | \dots$  to the oracle
  - the oracle verifies if
$$[E_K(0)]_{b-1..b} + C_2^* =? [E_K([C_1]_{3..b} | C_2^*)]_{1..2} + D^* = [M_1]_{1..2} + [C_3]_{1..2} + D^*$$
  - if the oracle accepts the message, then the attacker knows that
$$[E_K(0)]_{b-1..b} = C_2^* + [M_1]_{1..2} + [C_3]_{1..2} + D^*$$
  - otherwise try another  $D^*$



## A chosen ciphertext attack

$$[E_K(0)]_{b-1..b} + [Y_1]_{b-1..b} =? [E_K(Y_1)]_{1..2} + Y_2^*$$

- computing  $[M_2]_{1..2}$ :
  - send  $C_3 | D^* | C_3 | C_4 | \dots$  to the oracle
  - the oracle verifies if
$$[E_K(0)]_{b-1..b} + [C_3]_{b-1..b} =? [E_K(C_3)]_{1..2} + D^*$$
  - if the oracle accepts the message, then the attacker knows that
$$[E_K(C_3)]_{1..2} = [E_K(0)]_{b-1..b} + [C_3]_{b-1..b} + D^*$$
$$[M_2]_{1..2} = [E_K(C_3)]_{1..2} + [C_4]_{1..2}$$
  - otherwise try another  $D^*$
- computing  $[M_3]_{1..2}$ :
  - send  $C_4 | D^* | C_3 | C_4 | \dots$  to the oracle
  - ...