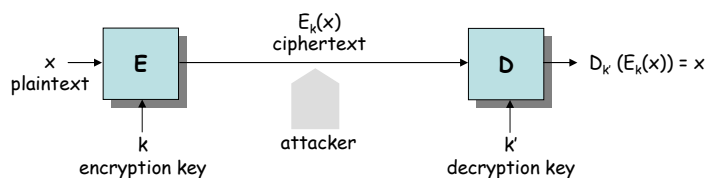


"The best system is to use a simple, well understood algorithm which relies on the security of a key rather than the algorithm itself. This means if anybody steals a key, you could just roll another and they have to start all over."  
-- Andrew Carol

## Symmetric key cryptography

- preliminaries (operational and attacker models)
- block ciphers (basics, DES, 3DES, AES)
- block ciphers in practice (modes of operation)
- a security flaw induced by CBC padding
- stream ciphers

## Operational model of encryption



- attacker's goal:
  - to systematically recover plaintext from ciphertext
  - to deduce the (decryption) key
- Kerckhoff's assumption:
  - attacker knows all details of  $E$  and  $D$
  - attacker doesn't know the (decryption) key

## Attack models

- ciphertext-only
  - only data transmitted over the ciphertext channel is available to the attacker
- known-plaintext
  - plaintext-ciphertext pairs are available to the attacker
- chosen-plaintext
  - ciphertexts are available corresponding to plaintexts of the attacker's choice
  - adaptive: choice of plaintexts may depend on previously obtained plaintext-ciphertext pairs
- chosen-ciphertext
  - plaintext-ciphertext pairs are available for some number of ciphertexts of the attacker's choice
  - adaptive: choice of ciphertexts may depend on previously obtained plaintext-ciphertext pairs
- related-key attack
  - attacker has access to the encryption of plaintexts under both the unknown key and keys known to have certain relationship with the unknown key

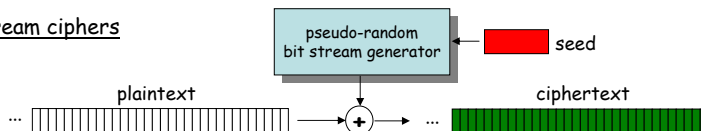
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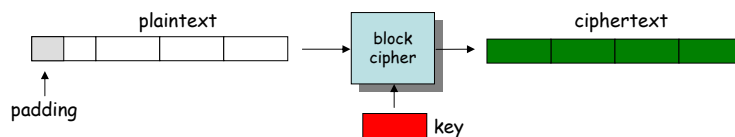
## Asymmetric- vs. symmetric-key encryption

- asymmetric-key encryption
  - it is hard (computationally infeasible) to compute  $k'$  from  $k$
  - $k$  can be made public (public-key cryptography)
- symmetric-key encryption
  - it is easy to compute  $k$  from  $k'$  (and vice versa)
  - often  $k = k'$
  - two main types: stream ciphers and block ciphers

### stream ciphers



### block ciphers



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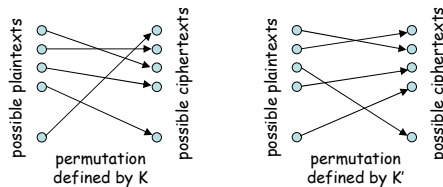
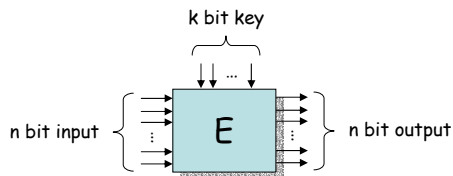
"Feistel and Coppersmith rule: Sixteen rounds and one hell of an avalanche."  
-- Stephan Eisvogel in de.comp.security

## Block ciphers: DES, 3DES, and AES

- basics
- operation of DES
- cryptanalysis of DES
- multiple encryption and the 3DES
- AES

## Block ciphers

- an  $n$  bit block cipher is a function  $E: \{0, 1\}^n \times \{0, 1\}^k \rightarrow \{0, 1\}^n$ , such that for each  $K \in \{0, 1\}^k$ ,  $E(X, K) = E_K(X)$ 
  - is an invertible mapping from  $\{0, 1\}^n$  to  $\{0, 1\}^n$
  - cannot be efficiently distinguished from a random permutation
- the inverse of  $E_K(X)$  is denoted by  $D_K(Y)$ , where  $Y = E_K(X)$



## Trade-offs in block size

- attacks based on small block size
  - text dictionary attack
    - plaintext-ciphertext pairs become known for a fixed key
    - the "larger" the dictionary the greater the chance of locating a random ciphertext in it
    - if  $n$  is small, then it is feasible to build "large" dictionaries
  - matching ciphertext attacks
    - if a dictionary of size about  $2^{n/2}$  have been created, and about  $2^{n/2}$  ciphertexts are subsequently given, then one expects to locate a ciphertext in the dictionary with high probability (birthday paradox)
- larger block size is more secure
- disadvantages of large block size
  - more costly to implement (in terms of gates or low level instructions)

## Exhaustive key search and key size

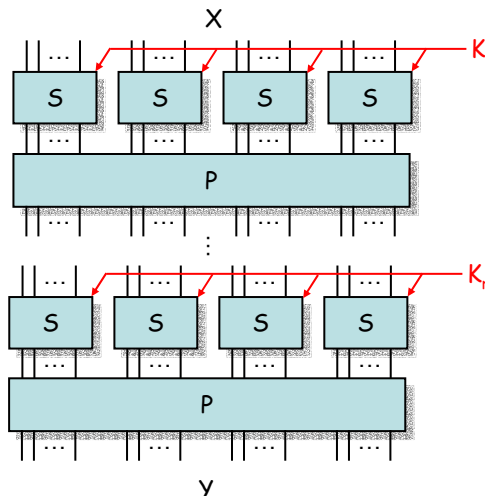
- given a small number of plaintext-ciphertext pairs encrypted under a key  $K$ ,  $K$  can be recovered by exhaustive key search with  $2^{k-1}$  processing complexity (expected number of operations)
  - input:  $(X, Y), (X', Y'), \dots$
  - progress through the entire key space
    - for each trial key  $K'$ , decrypt  $Y$
    - if the result is not  $X$ , then throw away  $K'$
    - if the result is  $X$ , then check the other pairs  $(X', Y'), \dots$
    - if  $K'$  does not work for at least one pair, then throw away  $K'$
  - if  $K'$  worked for all pairs  $(X, Y), (X', Y'), \dots$ , then output  $K'$  as the target key
  - on average, the target key is found after searching half of the key space
- if the plaintexts are known to contain redundancy, then ciphertext-only exhaustive key search is possible with a relatively small number of ciphertexts

## How to build a “strong” block cipher?

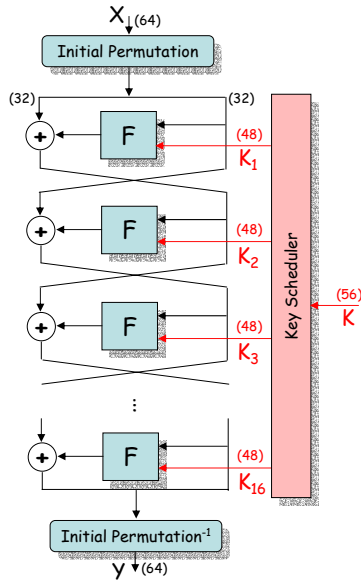
- complex encryption function can be built by composing several simple operations which offer complementary - but individually insufficient - protection
- simple operations:
  - elementary arithmetic operations
  - logical operations (e.g., XOR)
  - modular multiplication
  - transpositions
  - substitutions
  - etc.
- let's combine two or more transformations in a manner that the resulting cipher is more secure than the individual components

## Example: SP networks

- an SP (substitution-permutation) network is a *product cipher* composed of stages each involving key controlled substitutions (non-linear look-up tables) and permutations



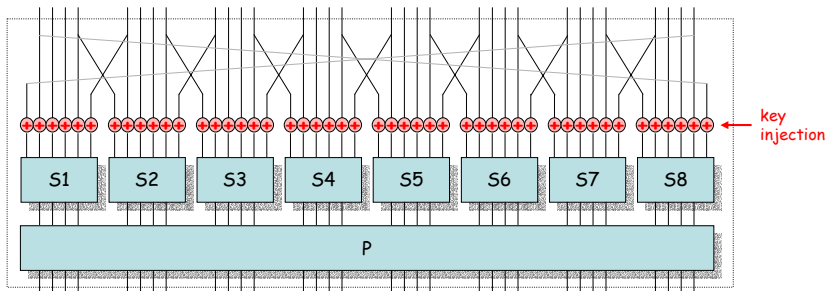
# DES - Data Encryption Standard



- input size: 64
- output size: 64
- key size: 56
- 16 rounds
- Feistel structure

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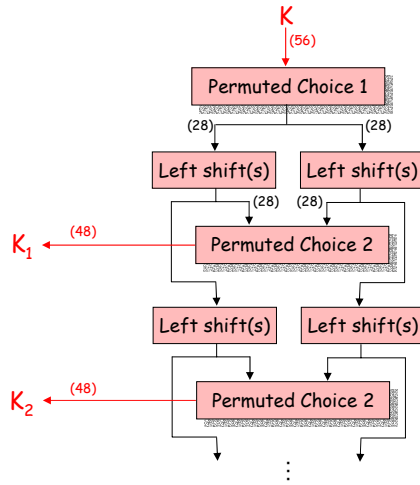
# DES round function F



- S<sub>i</sub> - substitution box (S-box)
- P - permutation box (P-box)

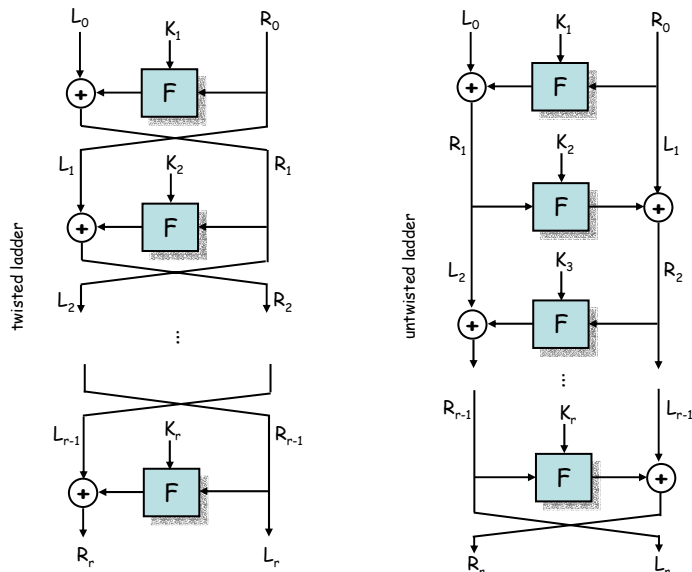
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## DES key scheduler



- each key bit is used in around 14 out of 16 rounds

## Feistel structure illustrated

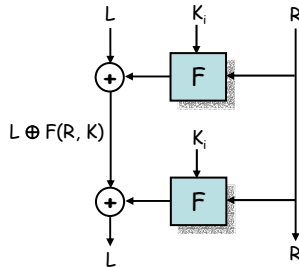


## Properties of Feistel ciphers

- round  $i$  maps  $(L_{i-1}, R_{i-1})$  into  $(L_i, R_i)$  as follows:
 
$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$$
- a Feistel cipher is always invertible even if  $F$  is not invertible:
 
$$R_{i-1} = L_i$$

$$L_{i-1} = R_i \oplus F(R_{i-1}, K_i) = R_i \oplus F(L_i, K_i)$$
- decryption can be achieved using the same  $r$ -round process with the round keys used in reverse order ( $K_r$  through  $K_1$ )

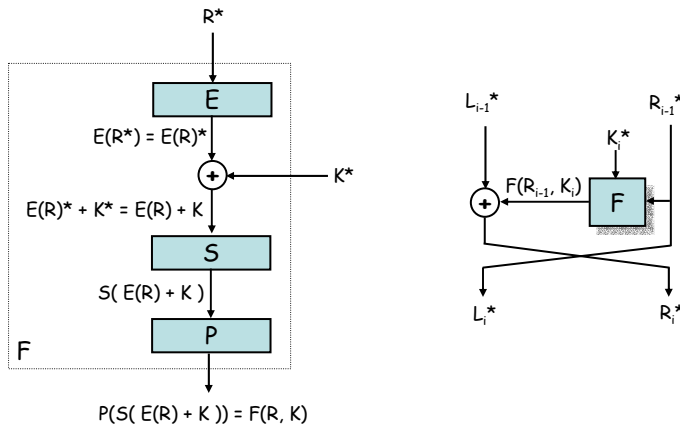


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## Complementation property of DES

- $Y = \text{DES}_K(X)$  implies  $Y^* = \text{DES}_{K^*}(X^*)$ 
  - where  $X^*$  denotes the bitwise complement of  $X$



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## Consequences of the complementation prop.

- assume an attacker can mount a chosen-plaintext attack
- the attacker chooses a plaintext  $X$ , and obtains  $Y_1 = \text{DES}_K(X)$  and  $Y_2 = \text{DES}_{K^*}(X^*)$
- by the complementation property, the attacker knows that  $\text{DES}_{K^*}(X) = Y_2^*$
- the attacker then runs an exhaustive key search
  - for each trial key  $K'$ , he computes  $Y' = \text{DES}_{K'}(X)$ 
    - if  $Y' = Y_1$ , then  $K'$  is possibly the target key (should be further tested)
    - if  $Y' = Y_2^*$ , then  $K'^*$  is possibly the target key (should be further tested)
    - otherwise throw away **both**  $K'$  and  $K'^*$
- expected number of keys required before success is reduced from  $2^{55}$  to  $2^{54}$
- still impractical as an attack

## DES weak keys and semi-weak keys

- a weak key is a key  $K$  such that  $\text{DES}_K(\text{DES}_K(X)) = X$ 
  - there are 4 DES weak keys:
 

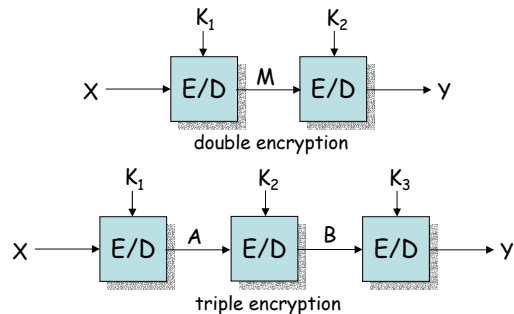
```
0101 0101 0101 0101
FEFE FEFE FEFE FEFE
1F1F 1F1F 0E0E 0E0E
E0E0 E0E0 F1F1 F1F1
```
- a semi-weak key pair is a pair  $(K_1, K_2)$  such that  $\text{DES}_{K_1}(\text{DES}_{K_2}(X)) = X$ 
  - there are 6 pairs of DES semi-weak keys
- why are these keys weak?
  - for each weak key  $K$ , there exist  $2^{32}$  fix points of  $\text{DES}_K$ , i.e., plaintext  $X$  such that  $\text{DES}_K(X) = X$
  - for 4 out of the 12 semi-weak keys, there exist  $2^{32}$  anti-fix points, i.e., plaintext  $X$  such that  $\text{DES}_K(X) = X^*$

## Linear and differential cryptanalysis of DES

- linear cryptanalysis (LC)
  - linear cryptanalysis is the most powerful attack against DES to date
  - requires an enormous number ( $\sim 2^{43}$ ) known plaintext-ciphertext pairs  $\rightarrow$  infeasible in practical environments
  - could work in a ciphertext only model if plaintexts are redundant (e.g., contain parity bits)
- differential cryptanalysis (DC)
  - most general cryptanalytic tool to date against iterated block ciphers (including DES, FEAL, IDEA)
  - primarily a chosen-plaintext attack
  - in case of DES, it requires  $\sim 2^{47}$  chosen plaintext-ciphertext pairs  $\rightarrow$  infeasible in practical environments
- DES was optimized against DC when it was designed
- it can, however, be improved with respect to LC (apparently the designers of DES was not aware of this attack at that time)

## Multiple encryption and 3DES

- if a block cipher is susceptible to exhaustive key search (e.g., DES), then encryption of the same message more than once may increase security



- stage keys may not be independent
  - e.g., two-key 3DES:  $K_1 = K_3$
- a stage cipher may be either a block cipher or its corresponding decryption function
  - e.g., 3DES-EDE (encryption-decryption-encryption)

## Meet-in-the-middle attack on double enc.

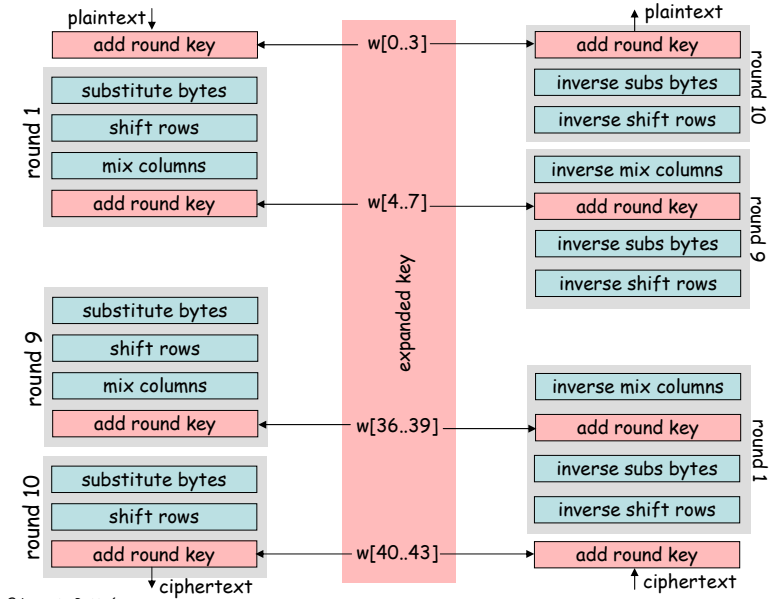
- a naïve exhaustive key search attack on double encryption tries all  $2^{2k}$  keys
- a known-plaintext meet-in-the-middle attack defeats double encryption using an order of  $2^k$  operations and  $2^k$  storage
  - attack time is reduced at the cost of substantial space
- meet-in-the-middle attack:
  - input: known plaintext-ciphertext pairs  $(X, Y), (X', Y'), \dots$
  - compute  $M_i = E_i(X)$  for all possible key values  $K_1 = i$  and store all  $(M_i, i)$  pairs in a table
  - compute  $M'_j = D_j(Y)$  for all possible key values  $K_2 = j$  and check for hits  $M'_j = M_i$  against entries in the stored table
    - $M'_j$  need not be stored, it can be checked as it is generated
  - each hit identifies a candidate solution key pair  $(i, j)$
  - using a second plaintext-ciphertext pair  $(X', Y')$ , discard false hits
  - for an  $L$  stage cascade of random ciphers, the expected number of false key hits when  $t$  plaintext-ciphertext pairs are available is  $2^{Lk-tn}$ , where  $n$  and  $k$  are the block and key sizes, resp.

## AES - Advanced Encryption Standard

- NIST selected Rijndael (designed by Joan Daemen and Vincent Rijmen) as a successor of DES (3DES) in November 2001
- Rijndael parameters
 

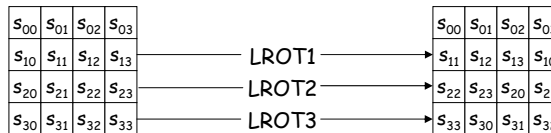
- key size	128	192	256
- input/output size	128	128	128
- number of rounds	10	12	14
- round key size	128	128	128
- not Feistel structure
- decryption algorithm is different from encryption algorithm (optimized for encryption)
- single 8 bit to 8 bit S-box
- key injection (bitwise XOR)

## General structure of encryption/decryption

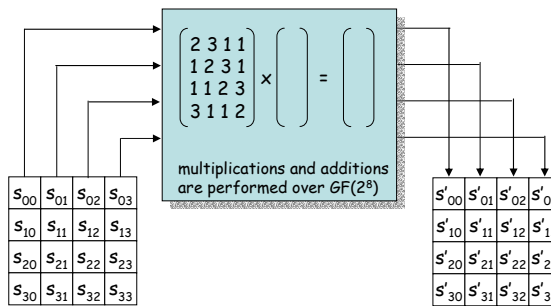


## Shift row and mix column

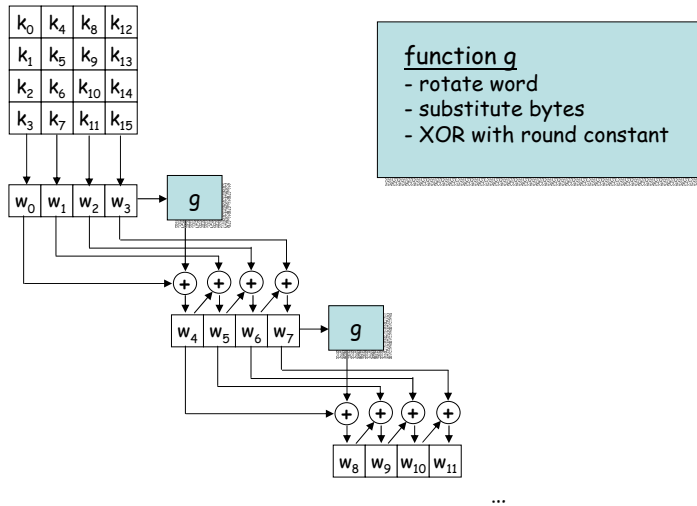
### shift row



### mix column



## Key expansion



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## Summary

- block cipher basics
  - trade-offs in block size
  - trade-offs in key size, exhaustive key search
  - product ciphers, SP networks
- DES
  - operation
  - properties (Feistel structure, complementation, weak keys)
  - differential and linear cryptanalysis
  - multiple encryption and the 3DES
  - meet-in-the middle attack on 2DES
- AES
  - operation

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## Using a block cipher in practice: modes of operation

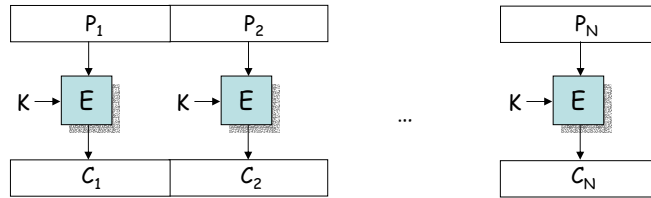
- ECB
- CBC
- CFB, OFB, CTR

### Block cipher modes of operation

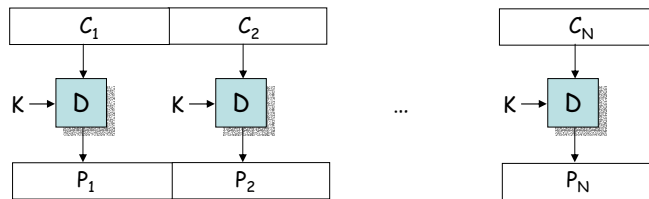
- ECB - Electronic Codebook
  - used to encipher a single plaintext block (e.g., a DES key)
- CBC - Cipher Block Chaining
  - repeated use of the encryption algorithm to encipher a message consisting of many blocks
- CFB - Cipher Feedback
  - used to encipher a stream of characters, dealing with each character as it comes
- OFB - Output Feedback
  - another method of stream encryption, used on noisy channels
- CTR - Counter
  - simplified OFB with certain advantages
- triple-inner-CBC and triple-outer-CBC
  - possible modes of operation when multiple encryption is used

## ECB mode

- encrypt



- decrypt



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## Properties of ECB mode

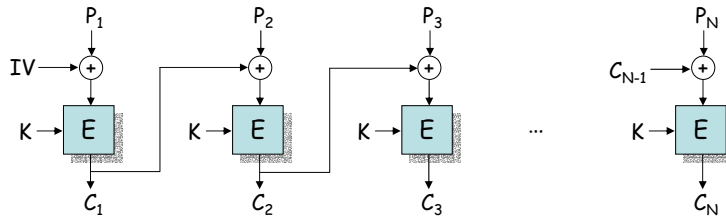
- identical plaintext blocks result in identical ciphertext blocks (under the same key of course)
  - messages to be encrypted often have very regular formats
  - repeating fragments, special headers, string of 0s, etc. are quite common
- blocks are encrypted independently of other blocks
  - reordering ciphertext blocks result in correspondingly reordered plaintext blocks
  - ciphertext blocks can be cut from one message and pasted in another, possibly without detection
- error propagation: one bit error in a ciphertext block affects only the corresponding plaintext block (results in garbage)
- overall: not recommended for messages longer than one block, or if keys are reused for more than one block

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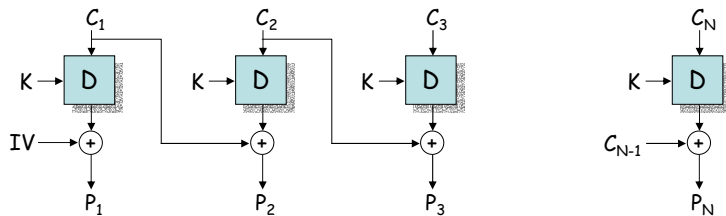
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## CBC mode

- encrypt



- decrypt



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## Properties of CBC mode

- encrypting the same plaintexts under the same key, but different IVs result in different ciphertexts
- ciphertext block  $C_j$  depends on  $P_j$  and all preceding plaintext blocks
  - rearranging ciphertext blocks affects decryption
  - however, dependency on the preceding plaintext blocks is only via the previous ciphertext block  $C_{j-1}$
  - proper decryption of a correct ciphertext block needs a correct preceding ciphertext block only
- error propagation:
  - one bit error in a ciphertext block  $C_j$  has an effect on the  $j$ -th and  $(j+1)$ -st plaintext block
    - $P_j'$  is complete garbage and  $P_{j+1}'$  has bit errors where  $C_j$  had
    - an attacker may cause predictable bit changes in the  $(j+1)$ -st plaintext block
- error recovery:
  - recovers from bit errors (self-synchronizing)
  - cannot, however, recover from frame errors ("lost" bits)

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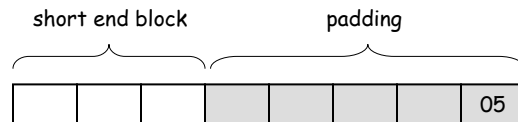


## Integrity of the IV in CBC mode

- the IV need not be secret, but its integrity should be protected
  - malicious modification of the IV allows an attacker to make predictable changes to the first plaintext block recovered
- one solution is to send the IV in an encrypted form at the beginning of the CBC encrypted message

## Padding

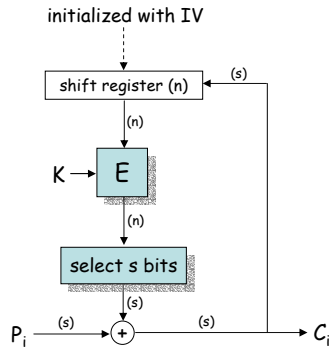
- the length of the message may not be a multiple of the block size of the cipher
- one can add some extra bytes to the short end block until it reaches the correct size - this is called padding
- usually the last byte indicates the number of padding bytes added - this allows the receiver to remove the padding



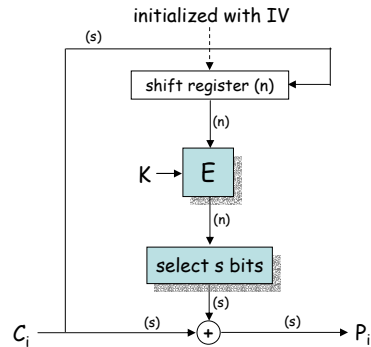
- note: if the encrypted message must have the same size as the clear message, then no padding can be used
  - encrypt the last ciphertext block again
  - select  $m$  bits and XOR them to the remaining  $m$  bits of the clear message

## CFB mode

- encrypt



- decrypt



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## Properties of CFB mode

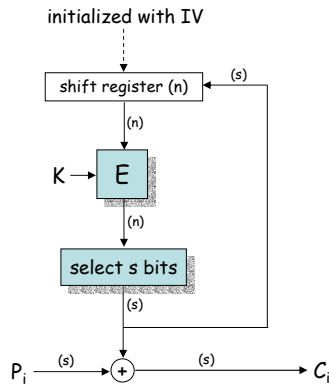
- encrypting the same plaintexts under the same key, but different IVs result in different ciphertexts
- the IV can be sent in clear
- ciphertext block  $C_j$  depends on  $P_j$  and all preceding plaintext blocks
  - rearranging ciphertext blocks affects decryption
  - proper decryption of a correct ciphertext block needs the preceding  $n/s$  ciphertext blocks to be correct
- error propagation:
  - one bit error in a ciphertext block  $C_j$  has an effect on the decryption of that and the next  $n/s$  ciphertext blocks (the error remains in the shift register for  $n/s$  steps)
    - $P_j'$  has bit errors where  $C_j$  had, all the other erroneous plaintext blocks are garbage
    - an attacker may cause predictable bit changes in the  $j$ -th plaintext block
- error recovery:
  - self synchronizing, but requires  $n/s$  blocks to recover

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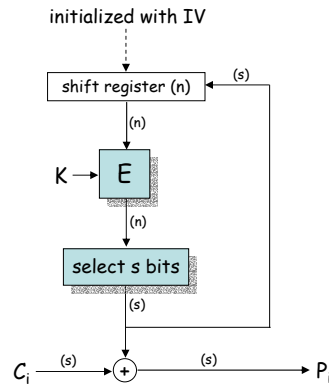
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## OFB mode

- encrypt



- decrypt



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## Properties of OFB mode

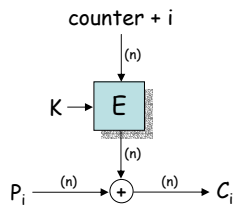
- a different IV should be used for every new message, otherwise messages will be encrypted with the same key stream
- the IV can be sent in clear
  - however, if the IV is modified by the attacker, then the cipher will never recover (unlike CFB)
- ciphertext block  $C_j$  depends on  $P_j$  only (does not depend on the preceding plaintext blocks)
  - however, rearranging ciphertext blocks affects decryption
- feedback size should be equal to  $n$ 
  - ( $= n$ )  $\rightarrow$  cycle length is around  $2^{n-1}$
  - ( $< n$ )  $\rightarrow$  cycle length is around  $2^{n/2}$
- error propagation:
  - one bit error in a ciphertext block  $C_j$  has an effect on only that ciphertext block
    - $P_j'$  has bit errors where  $C_j$  had
    - an attacker may cause predictable bit changes in the  $j$ -th plaintext block
- error recovery:
  - recovers from bit errors
  - never recovers if bits are lost or the IV is modified

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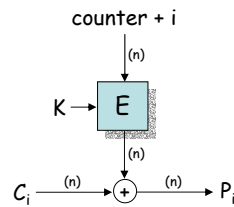
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## CTR mode

- encrypt



- decrypt



## Properties of CTR mode

- similar to OFB
- cycle length depends on the size of the counter (typically  $2^n$ )
- the  $i$ -th block can be decrypted independently of the others
  - parallelizable (unlike OFB)
  - random access
- the values to be XORed with the plaintext can be pre-computed
- at least as secure as the other modes

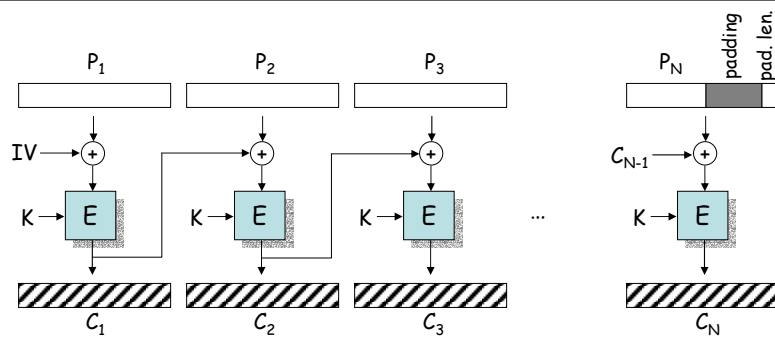
note1: in CFB, OFB, and CTR mode only the encryption algorithm is used (decryption is not needed)

- that is why Rijndael is optimized for encryption
- these modes shouldn't be used with public-key encryption algs.

note2: the OFB and CTR modes essentially make a synchronous stream cipher out of a block cipher, whereas the CFB mode converts a block cipher into a self-synchronizing stream-cipher

## A security flaw induced by CBC padding

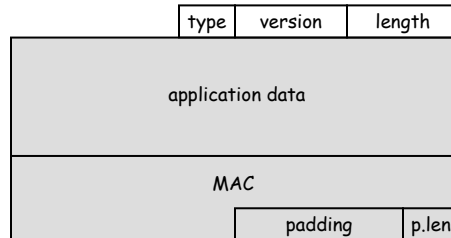
### CBC encryption with padding



- TLS padding
  - last byte is the length  $n$  of the padding
  - all padding bytes have the value  $n$
  - examples for correct padding: 0, 11, 222, 3333, ...
- verification of TLS padding:
  - if the last byte is  $n$ , then verify if the last  $n+1$  bytes are all  $n$

## Side channel

- TLS record message format



- send a random message to a TLS server
- the server will drop the message with overwhelming probability
  - either the padding is incorrect (the server responds with a DECRYPTION\_FAILED alert)
  - or the MAC is incorrect with very high probability (the server responds with BAD\_RECORD\_MAC alert)
- if the response is BAD\_RECORD\_MAC, then the padding was correct
  - we get 1 bit of information !

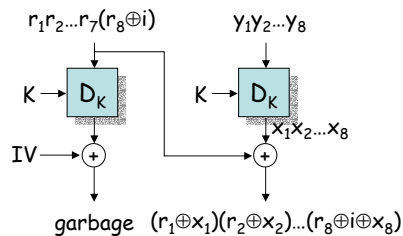
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A security flaw induced by CBC padding

## Last byte(s) oracle

- assume we have an encrypted block  $y_1y_2...y_8 = E_K(x_1x_2...x_8)$
- we want to compute  $x_8$  (the last byte of  $x$ )
- idea:
  1. choose a random block  $r_1r_2...r_8$ ; let  $i = 0$
  2. send  $r_1r_2...r_7(r_8 \oplus i)y_1y_2...y_8$  to the server (oracle)
  3. if there's a padding error, then increment  $i$  and go back to step 2
  4. if there's no padding error, then  $r \oplus x$  ends with 0 or 11 or 222 ...
    - the most likely is that  $(r_8 \oplus i) \oplus x_8 = 0$ , and hence  $x_8 = r_8 \oplus i$



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A security flaw induced by CBC padding

## Last byte(s) oracle

- assume we get that  $x \oplus r$  has a correct padding, but we don't know if it is 0 or 11 or 222 ...
- idea:
  1. let  $j = 1$
  2. change  $r_j$  and send  $r_1 r_2 \dots r_8 y_1 y_2 \dots y_8$  to the server again
  3. if the padding is still correct then the  $j$ -th byte was not a padding byte; increment  $j$  and go back to step 2
  4. if the padding becomes incorrect then the  $j$ -th byte was the first padding byte;  $x_j \oplus r_j \mid x_{j+1} \oplus r_{j+1} \mid \dots \mid x_8 \oplus r_8 = (8-j) \mid \dots \mid (8-j)$  and hence  $x_j x_{j+1} \dots x_8 = r_j \oplus (8-j) \mid r_{j+1} \oplus (8-j) \dots r_8 \oplus (8-j)$

```

x = DE AD BE EF DE AD BE EF
r = 01 23 45 67 DD AE BD EC
r⊕x = DF 8E FB 88 03 03 03 03

j  r          r⊕x          padding
1  00 23 45 67 DD AE BD EC  DE 8E FB 88 03 03 03 03  OK
2  00 22 45 67 DD AE BD EC  DE 8F FB 88 03 03 03 03  OK
3  00 22 44 67 DD AE BD EC  DE 8F FA 88 03 03 03 03  OK
4  00 22 44 66 DD AE BD EC  DE 8F FA 89 03 03 03 03  OK
5  00 22 44 66 DC AE BD EC  DE 8F FA 89 02 03 03 03  ERROR

x5 x6 x7 x8 = DD⊕03 AE⊕03 BD⊕03 EC⊕03 = DE AD BE EF
    
```

## Block decryption oracle

- assume we have an encrypted block  $y_1 y_2 \dots y_8 = E_k(x_1 x_2 \dots x_8)$  and we know the value of  $x_j x_{j+1} \dots x_8$  (using the last byte(s) oracle)
- we want to compute  $x_{j-1}$
- idea:
  1. choose a random block  $r_1 r_2 \dots r_8$  such that  $r_j = x_j \oplus (9-j)$ ;  $r_{j+1} = x_{j+1} \oplus (9-j)$ ; ...  $r_8 = x_8 \oplus (9-j)$ ;
  2. let  $i = 0$
  3. send  $r_1 r_2 \dots r_{j-2} (r_{j-1} \oplus i) r_j \dots r_8 y_1 y_2 \dots y_8$  to the server (oracle)
  4. if there's a padding error then increment  $i$  and go back to step 3
  5. if there's no padding error then  $x_{j-1} \oplus r_{j-1} \oplus i = 9-j$  and hence  $x_{j-1} = r_{j-1} \oplus i \oplus (9-j)$

```

x = DE AD BE EF DE AD BE EF
r = 01 23 45 67 DA A9 BA EB
r⊕x = DF 8E FB 88 04 04 04 04

i  r          r⊕x          padding
0  01 23 45 67 DA A9 BA EB  DF 8E FB 88 04 04 04 04  ERROR
1  01 23 45 66 DA A9 BA EB  DF 8E FB 89 04 04 04 04  ERROR
... ..
140 01 23 45 EB DA A9 BA EB  DF 8E FB 04 04 04 04 04  OK

x4 = 67⊕8C⊕04 = EF
    
```

## Decryption oracle

- assume we have a CBC encrypted message  $(C_1, C_2, \dots, C_N)$  where
  - $C_1 = E_K(P_1 \oplus IV)$
  - $C_i = E_K(P_i \oplus C_{i-1})$  (for  $1 < i < N$ )
  - $C_N = E_K([P_N | \text{pad} | \text{plen}] \oplus C_{N-1})$
- we want to compute  $P_1, P_2, \dots, P_N$
- idea:
  - decrypt  $C_N$  using the block decryption oracle and XOR the result to  $C_{N-1}$ ; you get  $P_N | \text{pad} | \text{plen}$
  - decrypt  $C_i$  using the block decryption oracle and XOR the result to  $C_{i-1}$ ; you get  $P_i$
  - decrypt  $C_1$  using the block decryption oracle and XOR the result to  $IV$ ; you get  $P_1$  (if  $IV$  is secret you cannot get  $P_1$ )

complexity of the whole attack:

on average we need only  $\frac{1}{2} * 256 * 8 * N = 1024 * N$  oracle calls !

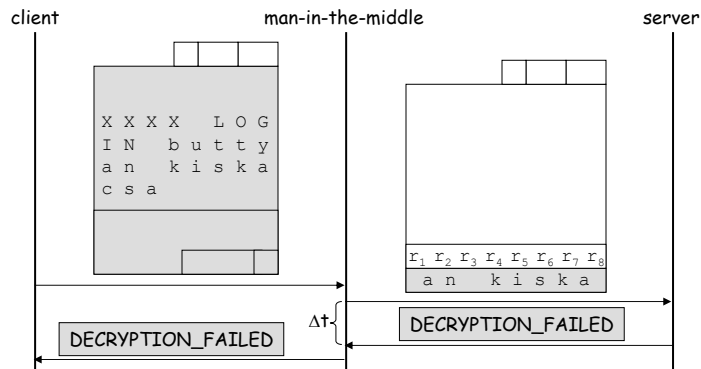
## Application

- vulnerable protocols: SSL, TLS, WTLS, IPsec, ...
- some notes:
  - problems (and solutions) with TLS
    - alert messages are encrypted  $\rightarrow$  BAD\_RECORD\_MAC and DECRYPTION\_FAILED cannot be distinguished
      - measure timing between oracle call and oracle response
      - BAD\_RECORD\_MAC takes more time than DECRYPTION\_FAILED
    - BAD\_RECORD\_MAC and DECRYPTION\_FAILED are fatal errors  $\rightarrow$  connection is closed after one oracle call
      - a password can still be broken if it is sent periodically to a server using TLS (a different session is used each time the password is sent)



## Example: IMAP over TLS

- Outlook Express checks for new mail on the server periodically (every 5 minutes)
- each time the same password is sent for every folder  
XXXX LOGIN "username" "password"<OD><OA>
- it is possible to uncover the password using the attack as follows:



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## Fixes

- randomize response time after an error occurred (measuring timing of alert messages won't work)
- use random padding bytes
- **put the padding before the MAC!**

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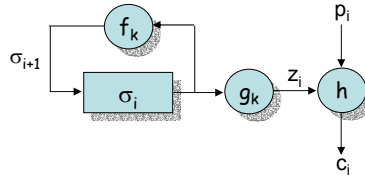
## Stream ciphers

- general principles
- one-time pad
- LFSR based stream ciphers
- RC4

### General principles

- while block ciphers simultaneously encrypt groups of characters, stream ciphers encrypt individual characters
  - may be better suited for real time applications
- stream ciphers are usually faster than block ciphers in hardware (but not necessarily in software)
- limited or no error propagation
  - may be advantageous when transmission errors are probable
- note: the distinction between stream ciphers and block ciphers is not definitive
  - stream ciphers can be built out of block ciphers using CFB, OFB, or CTR modes
  - a block cipher in ECB or CBC mode can be viewed as a stream cipher that operates on large characters

## Synchronous stream ciphers

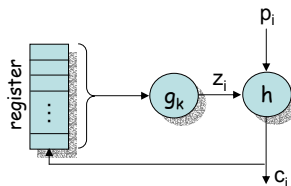


- the key stream is generated independently of the plaintext and of the ciphertext
- properties:
  - needs synchronization between the sender and the receiver
    - if a character is inserted into or deleted from the ciphertext stream then synchronization is lost and the plaintext cannot be recovered
    - additional techniques must be used to recover from loss of synch.
      - insertion and deletion are easy to detect by the receiver
  - no error propagation
    - a ciphertext character that is modified during transmission affects only the decryption of that character
      - an attacker can make changes to selected ciphertext characters and know exactly what effect these changes have on the plaintext (if  $h = \text{XOR}$ )

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## Self-synchronizing stream ciphers



- the key stream is generated as a function of a fixed number of previous ciphertext characters
- properties:
  - self-synchronizing
    - since the size  $t$  of the register is fixed, a lost ciphertext character affects only the decryption of the next  $t$  ciphertext characters
      - more difficult to detect insertion and deletion of ciphertext char's
  - limited error propagation
    - if a ciphertext character is modified, then decryption of the next  $t$  ciphertext characters may be incorrect
      - modifications are easier to detect than in case of synch. stream ciphers
  - ciphertext characters depend on all previous plaintext characters
    - better diffusion of plaintext statistics

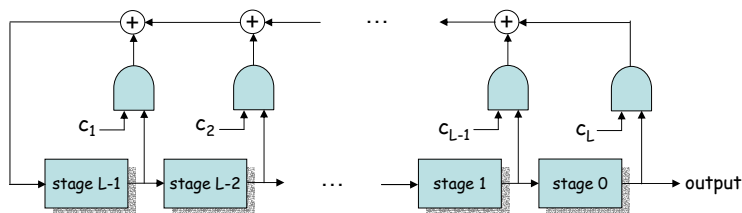
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## Vernam cipher and the one-time pad

- Vernam cipher
  - $c_i = p_i \oplus k_i$  for  $i = 1, 2, \dots$   
where  $p_i$  are the plaintext digits,  $k_i$  are the key stream digits,  $c_i$  are the ciphertext digits, and  $\oplus$  is the bitwise XOR operation
- one-time pad
  - a Vernam cipher where the key stream digits are generated independently and uniformly at random
  - the one-time pad is unconditionally secure [Shannon, 1949]
    - $I(P; C) = H(P) - H(P|C) = 0$
  - a necessary condition for a symmetric key cipher to be unconditionally secure is that  $H(K) \geq H(P)$  [Shannon, 1949]
    - practically, the key must have as many bits as the compressed plaintext
    - impractical because of key management problems

## Linear feedback shift registers (LFSR)



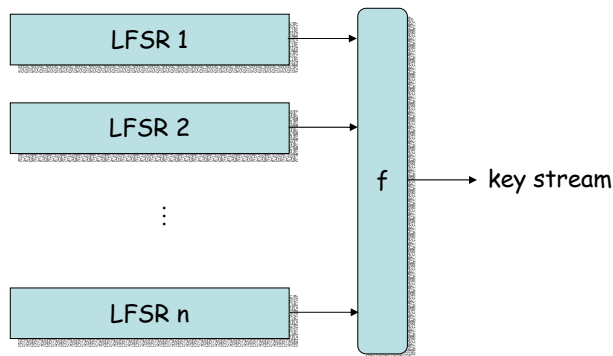
- where each  $c_i \in \{0, 1\}$  and each stage can store 1 bit
- operation is controlled by a clock
- during each time unit
  - the content of stage 0 is output
  - the contents of a fixed subset of stages are XORed
  - the content of stage  $i$  is moved to stage  $i-1$  ( $i = 1, 2, \dots, L-1$ ) and the result of the XOR operation is moved in stage  $L-1$  (feedback)

## Maximum length LFSR and linear complexity

- maximum length LFSR
  - since the number of possible states of an LFSR is finite, the output stream it produces is always periodic
  - an LFSR is maximum length LFSR, if it produces an output sequence with maximum possible period  $2^L - 1$  (m-sequence)
  - m-sequences have good statistical properties
- linear complexity
  - the linear complexity  $L(s^n)$  of a finite binary sequence  $s^n$  is the length of the shortest LFSR that generates a sequence having  $s^n$  as its first  $n$  elements
  - Berlekamp-Massey algorithm:
    - let  $s$  be a binary sequence of linear complexity  $L$ , and let  $t$  be a finite subsequence of  $s$  of length at least  $2L$
    - the Berlekamp-Massey algorithm with input  $t$  determines an LFSR of length  $L$  that generates  $s$
  - an LFSR should not be used as a key stream generator, as the resulting stream cipher would be vulnerable to known-plaintext attacks

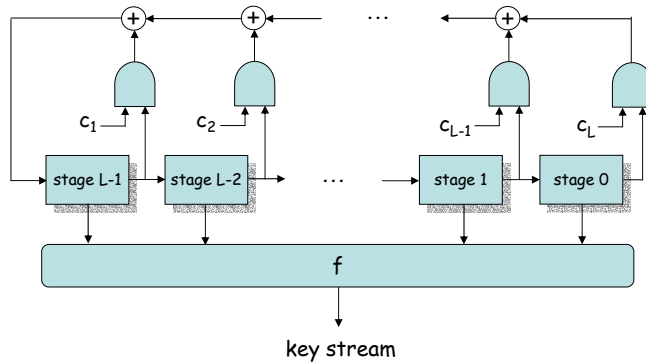
## Stream ciphers based on LFSRs

- nonlinear combination generators



## Stream ciphers based on LFSRs

- nonlinear filter generators

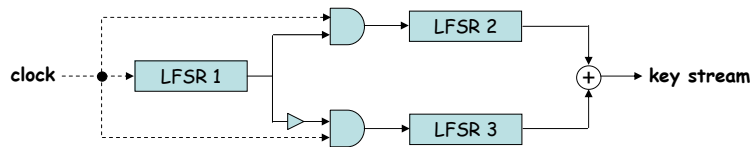


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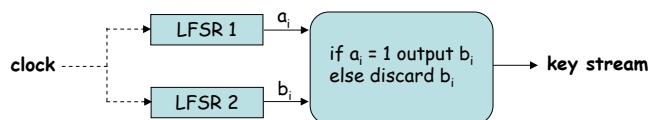
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## Stream ciphers based on LFSRs

- clock-controlled generators
  - alternating step generator



- shrinking generator



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## RC4 stream cipher

- initialization (input: a seed  $K$  of  $\text{keylen}$  bytes)

```
for i = 0 to 255 do
  S[i] = i;
  T[i] = K[i mod keylen];
```
- initial permutation

```
j = 0;
for i = 0 to 255 do
  j = (j + S[i] + T[i]) mod 256;
  swap(S[i], S[j]);
```
- stream generation (output: a stream of pseudo-random bytes)

```
i, j = 0;
while true
  i = (i + 1) mod 256;
  j = (j + S[i]) mod 256;
  swap(S[i], S[j]);
  output S[(S[i] + S[j]) mod 256];
```